



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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*Marilyn R. Khorsandi*  
Marilyn R. Khorsandi

Applicant : David Allison Bennett, et al.  
Application No. : 09/684,865  
Filed : October 6, 2000  
Title : Apparatus, Systems and Methods for Applying Billing  
Options for Multiple Carriers for Online, Multi-Carrier,  
Multi-Service Parcel Shipping Management  
Grp./Div. : 3600  
Examiner : Kenneth R. Rice  
Docket No. : PSTM0019/MRK

TRANSMITTAL LETTER

Mail Stop Issue Fee  
Commissioner For Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

140 S. Lake Ave., Suite 312  
Pasadena, CA 91101  
February 23, 2005

Commissioner:

Attached are the following:

1. Information Disclosure Statement, PTO Form 1449 and 16 cited references and documents;
2. Check No. 1856 in the amount of \$180 to cover the Information Disclosure Statement submission fee (with a copy of this letter); and
3. Return Postcard.

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the **pendency** of this application to Deposit Account No. 501574. Please show our docket number with any charge or credit to our Deposit Account. **A copy of this letter is enclosed.**

Respectfully submitted,  
KHORSANDI PATENT LAW GROUP, ALC

By *Marilyn R. Khorsandi*  
Marilyn R. Khorsandi  
Reg. No. 45,744  
Customer No. 29524  
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MRK/emp  
Enclosures



I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Issue Fee, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on February 23, 2005.

Signature: Marilyn R. Khorsandi  
Marilyn R. Khorsandi

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s):	David Allison Bennett, et al.	Group Art Unit:	3600
Serial No.:	09/684,865	Examiner:	Kenneth R. Rice
Filed:	October 6, 2000		
Title:	Apparatus, Systems and Methods for Applying Billing Options for Online, Multi-Carrier, Multi-Service Parcel Shipping Management		
Atty Dckt No.:	PSTM0019/MRK		

**INFORMATION DISCLOSURE STATEMENT**  
**37 CFR § 1.97(d)**

Mail Stop Issue Fee  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Commissioner:

**Section 1: Preliminary Statements:**

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the manual of patent examining procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to applicant. Copies of each of the listed references and documents are enclosed. This filing is made during one of the periods described in 37 CFR § 1.97(d); the fee set forth in § 1.17(p) is included herewith.

In compliance with providing a statement under 37 C.F.R. §1.97(e)(2), no item of information contained in the Information Disclosure Statement or attached Form PTO-1449 was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the Information Disclosure Statement or attached Form PTO-1449 was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Many of the references listed on the attached Forms PTO-1449 were cited in Office Actions issued for co-pending patent applications that are commonly assigned to the assignees of the above-referenced U.S. patent application. Also listed on the attached Forms PTO-1449 are Office Actions issued for co-pending patent applications that are commonly assigned to the assignees of the above-referenced U.S. patent application.

While this Information Disclosure Statement, and the references and documents cited in the enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of this Information Disclosure Statement and citation of the references and documents listed in the enclosed Forms PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is respectfully requested that the listed references and documents be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

A list of patents and publications is set forth on the enclosed Form PTO-1449.

Respectfully submitted,

February 23, 2005  
Date

Marilyn R. Khorsandi  
Marilyn R. Khorsandi  
Attorney/Agent for Applicant(s)  
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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of

2

## **Complete if Known**

Application Number	09/684,865
Filing Date	10/6/2000
First Named Inventor	David Allison Bennett, et al.
Group Art Unit	3600
Examiner Name	Kenneth R. Rice
Attorney Docket Number	PSTM0019/MRK

## **U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
	1.	3,951,221		Rock	04-1976	Throughout
	2.	5,774,660		Brendel	06-1998	Throughout
	3.	6,078,936		Martin et al.	06-2000	Throughout
	4.	6,546,377	B1	Gravell et al.	04-2003	Throughout

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
	5.	PCT	WO89/01831	A1	Mikhail	03-1989	Throughout	
	6.	PCT	WO97/40617	A1	Lowery	10-1997	Throughout	

## **OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	7.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated February 2, 2005 for Smith, et al.; Online, Multi-Carrier, Multi-Service Parcel Shipping Management Functional Alignment of Computer Devices; U.S. Patent Application Serial No. 09/684,010 (Attorney Docket No. PSTM0002/MRK); filed October 6, 2000	
	8.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated December 7, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Shipping Location Comparison Across Multiple Carriers; U.S. Patent Application Serial No. 09/684,871 (Attorney Docket No. PSTM0003/MRK); filed October 6, 2000	
	9.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated November 29, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Parcel Handling Rules Comparison Across Multiple Carriers; U.S. Patent Application Serial No. 09/684,870 (Attorney Docket No. PSTM0007/MRK); filed October 6, 2000	
	10.	Examiner Edward R. Cosimano, Art Unit 3629, United States Patent Office; Office Action dated January 13, 2005 for Bennett, et al.; Reporting Shipping Rates and Delivery Schedules For Multiple Services and Multiple Carriers; U.S. Patent Application Serial No. 09/684,869 (Attorney Docket No. PSTM0009/MRK); filed October 6, 2000	
Examiner Signature		Date Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				<b>Application Number</b>	09/684,865
				<b>Filing Date</b>	10/6/2000
				<b>First Named Inventor</b>	David Allison Bennett, et al.
				<b>Group Art Unit</b>	3600
				<b>Examiner Name</b>	Kenneth R. Rice
<b>Sheet</b>	2	<b>of</b>	2	<b>Attorney Docket Number</b>	PSTM0019/MRK

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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FOREIGN PATENT DOCUMENTS								
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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	11.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated November 30, 2004 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Notification Service Option Comparison For Multiple Carriers; U.S. Patent Application Serial No. 09/685,078 (Attorney Docket No. PSTM0010/MRK); filed October 6, 2000	
	12.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated February 4, 2005 for Bennett, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Featuring Shipping Rate and Delivery Schedule Comparison for Multiple Carriers; U.S. Patent Application Serial No. 09/680,654 (Attorney Docket No. PSTM0015/MRK); filed October 6, 2000	
	13.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated November 29, 2004 for Bilibin, et al.; Apparatus, Systems and Methods for Online, Multi-Carrier, Multi-Service Parcel Shipping Management Determination of Ratable Weight for Multiple Carriers; U.S. Patent Application Serial No. 09/685,077 (Attorney Docket No. PSTM0020/MRK); filed October 6, 2000	
	14.	Examiner Romain Jeanty, Art Unit 3623, United States Patent Office; Office Action dated December 7, 2004 for Bilibin, et al.; Apparatus, Systems and Methods for Zone Level Rating for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,014 (Attorney Docket No. PSTM0023/MRK); filed October 6, 2000	
	15.	Examiner Beth Van Doren, Art Unit 3623, United States Patent Office; Office Action dated January 11, 2005 for Bilibin, et al.; Apparatus, Systems and Methods for Determining Delivery Time Schedules for Each of Multiple Carriers; U.S. Patent Application Serial No. 09/684,861 (Attorney Docket No. PSTM0024/MRK); filed October 6, 2000	
	16.	Examiner Jamisue A. Webb, Art Unit 3629, United States Patent Office; Office Action dated February 10, 2005 for Sean Hu, et al.; Apparatus, Systems and Methods for Printing Dimensionally Accurate Symbolologies on Laser Printers Configured with Remote Client Computer Devices; U.S. Patent Application Serial No. 09/684,152 (Attorney Docket No. PSTM0034/MRK); filed October 6, 2000	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Unique citation designation number. <sup>2</sup>See attached Kinds of U.S. Patent Documents. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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